



United States Department of Agriculture
Office of Inspector General

Office of Inspector General Annual Plan

October 1, 2009–September 30, 2010



Fiscal Year

2010

Highlights of Office of Inspector General (OIG) Plans for Fiscal Year 2010

GOAL 1 Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources.

- Conduct audits to ensure that USDA food safety and inspection programs effectively meet program objectives.
- As mandated by the 2008 Farm Bill, continue to monitor the Food Safety and Inspection Service's implementation of provisions related to State inspection programs.
- As part of the American Recovery and Reinvestment Act of 2009 (Recovery Act), perform a followup audit of the rehabilitation of flood control dams.
- Investigate smuggling of animals, animal products, plants, and plant products.
- Investigate allegations of criminal activity in animal fighting, including dogfighting and cockfighting.
- Continue to enhance the OIG Emergency Response Program.
- Investigate threats involving the safety of meat, poultry, or egg products to protect the public from the ill effects of food tampering or tainted food.
- Investigate allegations of criminal activity in the National Organic Program.

GOAL 2 Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants.

- Conduct audits related to nutrition, farm programs, and rural communities to determine if entitlements and benefits are effectively directed based on eligibility. This includes audits performed in compliance with the Recovery Act.
- Assess the effectiveness and propriety of USDA emergency relief/disaster assistance resulting from natural disasters.
- Investigate allegations of criminal activity in farm and other benefit programs.
- Monitor Recovery Act efforts and investigate allegations of criminal activity pertaining to natural disasters, including drought and flooding.
- Identify and investigate potentially fraudulent activity occurring within USDA agency programs receiving Recovery Act funding, including whistleblower allegations reported as set forth in the Recovery Act.

GOAL 3 Support USDA in implementing its management improvement initiatives.

- Perform mandated FY 2009/2010 financial statement audits of six USDA agencies and the Department as a whole.
- Evaluate the adequacy and security of information technology (IT) systems and applications.
- Assess the adequacy of USDA's controls to track and process program Civil Rights complaints and determine whether reports of investigation and final agency decisions are supported.
- Carry out oversight responsibilities under the Recovery Act.
- Investigate alleged significant criminal activity by USDA employees.

GOAL 4 Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.

- Determine if the Forest Service (FS) is properly obtaining rights-of-way and easements necessary to manage Federal lands and is properly granting and modifying these easements in accordance with applicable laws.
- Determine if FS controls are in place to ensure program objectives and program participants meet requirements to receive Recovery Act funds for capital improvement and maintenance plans.
- Determine whether recipients of Recovery Act funds received for hazardous fuels reduction and ecosystem restoration on non-Federal lands meet eligibility and program requirements.

GOAL 5 Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG's ability to fulfill its mission and communicate its accomplishments.

- Provide supervisory training for all OIG supervisors.
- Meet or exceed goals established by the Department's Small Business Office for awards to small business contractors.
- Respond to inquiries from Members of Congress in a timely fashion.
- Improve data retention and recovery by consolidating regional servers to Kansas City (KC) and Washington, D.C (DC).
- Improve performance of file sharing and printing by implementing a single network operating system.
- Fully implement the Financial Management Modernization Initiative (FMMI) to improve financial management at headquarters and in the regions.
- Use targeted recruitment and existing hiring flexibilities for referral certificates, where possible, to increase diversity in underrepresented areas.

Message from the Inspector General

I am pleased to present the USDA OIG Annual Plan for fiscal year (FY) 2010. This document describes how OIG will achieve its mission of promoting economy, efficiency, effectiveness, and integrity in the delivery of USDA programs. In setting our goals and objectives for FY 2010, the OIG Annual Plan is aligned with the OIG Strategic Plan for FY 2007–2012, as well as the Secretary's new high performance goals. The passage this past year of the American Recovery and Reinvestment Act (Recovery Act) has heavily influenced our FY 2010 Annual Plan. The Recovery Act gave OIG specific responsibilities for audit and investigative oversight of the USDA programs and activities funded by the Act.

When the Recovery Act was enacted in February 2009, OIG realigned its annual plan and redirected its resources to immediately begin conducting audits and investigations to ensure that we were providing timely and effective oversight of the Recovery Act monies provided for USDA programs. We immediately initiated work in a number of areas, including the performance of quick-turnaround reviews to examine internal control systems used by USDA agencies and the enhancement of our fraud awareness briefings targeted to Recovery Act areas. In FY 2010, we estimate that we will expend approximately 25 percent of our audit resources and 5 percent of our investigative resources conducting Recovery Act oversight.

In addition, as mandated by the FY 2008 Farm Bill, we are monitoring the Food Safety and Inspection Service's (FSIS) implementation of provisions related to State meat inspection programs. Once agency regulations are in place, we will initiate an audit to determine if FSIS is operating in accordance with these regulations.

Further, under the Disaster Relief and Recovery Supplemental Appropriations Act of 2008, USDA was entrusted with more than \$400 million in disaster relief and recovery funds. To ensure that these funds are being properly utilized, we are reviewing Rural Housing Service (RHS) controls over disaster assistance payments in its agency's programs and the Natural Resources Conservation Service's administration of the Emergency Watershed Protection Program and the Emergency Conservation Program. As disaster relief circumstances warrant, additional work may be planned.

Our FY 2010 Annual Plan also reflects initiatives being undertaken to identify and address potential problems in other programs funded and operated by USDA agencies and officials. Based on key developments, other mandates and initiatives, and OIG's continuing commitment to our strategic goals, OIG has developed and published this Annual Plan for FY 2010. We look forward to continuing to work productively with the Congress and the Secretary to promote the effective delivery of USDA programs



Phyllis K. Fong
Inspector General

Table of Contents

Introduction	1
Resources and Organization	1
FY 2010 Work Plan	1
Goal 1	1
Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources	
Goal 2	3
Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants	
Goal 3	4
Support USDA in implementing its management improvement initiatives	
Goal 4	5
Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources	
Goal 5	6
Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG's ability to fulfill its mission and communicate its accomplishments	
FY 09 Performance Summary and Results	7
Conclusion	9
Appendix A	9
Related Materials and Information	
Appendix B	10
OIG Organizational Chart and Functional Responsibilities	
Appendix C	12
Distribution of Audit Resources by Agency	
Appendix D	14
Distribution of Investigative Resources by Agency	
Appendix E	15
Fiscal Year 2009 Audits Planned	

Introduction

The United States Department of Agriculture (USDA), Office of Inspector General's (OIG) Strategic Plan for FY 2007-2012 sets forth our mission, vision, and goals for 5 years. OIG has set its direction to provide a positive return on the U.S. taxpayers' investment by asking the following critical questions of all the work that we plan to undertake: Are we addressing the most important matters? Are we achieving significant results?

In February 2009, the passage of the American Recovery and Reinvestment Act of 2009 (Recovery Act) had a significant impact on USDA programs and OIG's concomitant oversight responsibilities. USDA received \$28 billion in funding under the Recovery Act in a number of areas, including farm loans, watershed programs, supplemental nutrition assistance, housing, rural business, water and waste disposal, broadband, infrastructure improvements and maintenance, and wildland fire management. OIG became responsible—in addition to conducting audits and investigations in USDA program areas not referenced above—for ensuring timely and effective oversight of Recovery Act monies expended by USDA programs. Under the Recovery Act, USDA OIG was provided with \$22.5 million (to remain available until September 2013) to carry out this endeavor.

We plan to conduct audit work to (1) monitor the development of USDA agency program guidance and requirements for distributing Recovery Act funding to program participants, including eligibility requirements; (2) evaluate internal control systems used to ensure program objectives are achieved, program participants fully meet eligibility requirements, and payments are accurately computed; (3) determine if funding recipients complied with all eligibility criteria; and (4) evaluate USDA compliance activities in relation to Recovery Act funding requirements. Our Data Analysis Group will use data mining techniques to identify early indicators of potential fraud or the need for additional program controls.

Our investigations work will be performed to (1) identify and investigate potentially fraudulent activity occurring within the USDA agency programs receiving Recovery Act funding, including those whistleblower allegations reported as set forth in the Recovery Act; (2) coordinate with U.S. Attorney's Offices and States Attorney General's Offices to prosecute violators and seek asset forfeiture when appropriate; and (3) seek administrative sanctions, including suspension and debarment.

The FY 2010 work that OIG intends to undertake in support of our current strategic plan and in accordance with our Recovery Act responsibilities is presented in this annual plan.

Resources and Organization

The work proposed for this annual plan was also assessed in terms of the availability of the necessary resources to accomplish it. Appendix B describes our current organizational structure and functional responsibilities.

FY 2010 Work Plan

A summary of the work planned in support of each of the identified strategic goals for FY 2010 follows.

Goal 1 – Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources.

We expect to use 12.3 percent of our audit and 15 percent of our investigative resources for this goal.¹ Our focus includes the investigation of threats to the food supply, the agricultural sector, USDA employees, and national security. Our audit focus includes the assessment of management control systems designed to ensure that the Department is effectively protecting both the consumer and the Nation's agricultural resources. Our audit work will also focus on Homeland Security and the continued evaluation of the effectiveness of the Department's actions in coordinating and communicating increased security controls, Departmentwide and with other Federal Departments. Priority work in FY 2010 will include the following:

- Determine if commodities listed in the country-of-origin labeling regulation (which became a final rule in March 2009) adequately meet the requirements that these commodities be labeled to indicate the country of origin. We will also evaluate the adequacy and consistency of the oversight provided by Agricultural Marketing Service (AMS) personnel to ensure these requirements are met.
- Determine whether agricultural products marketed as "organic" meet the requirements of the National Organic Program (NOP). We will also follow up on AMS'

¹ All of the OIG teams contribute to each different goal, but currently we can quantify and project out only audit and investigations resources to be directed to each goal.

implementation of recommendations made in our prior audit. In addition, if the NOP provision in the pending FY 2010 Agriculture appropriations bill is passed, we will utilize the findings of our audit and any congressional directives provided in the bill's Conference Report to determine the focus of further audit work.

- As mandated by the 2008 Farm Bill, continue to monitor the Food Safety and Inspection Service's (FSIS) implementation of provisions related to State inspection programs and determine whether FSIS is operating in accordance with these changes.
- Follow up on FSIS' implementation of corrective actions taken resulting from FY 2007 and FY 2008 OIG audit initiatives. These include management controls in FSIS systems; staffing and supervision of in-plant inspectors; inspector training programs; oversight of the Humane Methods of Slaughter Act; and removal of specified-risk materials.
- Complete the review to determine whether the National Residue Program for cattle objectives are being met and assess the program's accomplishments. (FSIS)
- Review the Salmonella Verification Testing Program (SVTP) changes made by FSIS in 2006 and new policies announced in 2008. We will determine if the 2006 changes were effective. We will also determine if policy changes in 2008 were scientifically based.
- Complete our review of the Food Emergency Response Network's (FERN) capability to adequately address significant food threat events at the local, State, and Federal levels. (FSIS)
- Determine the adequacy of the Animal and Plant Health Inspection Service's (APHIS) emergency response plan for foot and mouth disease outbreaks and actions taken to quickly detect, respond to, and limit the impact on the livestock industry.
- Complete our evaluation of APHIS' controls over animal import centers to ensure that restricted animals are properly transported and determine whether required quarantines and biosecurity controls are administered.
- Follow up on prior audits of select agents and toxins to determine if APHIS has implemented the corrective actions to ensure that the agency and registered entities are in

compliance with regulations as they pertain to the Public Health Security and Bioterrorism Act of 2002.

- Complete our review of animal exhibitors licensing to determine if the regulatory definition of an exhibitor excludes pet owners who are not true exhibitors; evaluate controls in place to deny licenses to applicants whose possession of wild or exotic animals would violate State or local laws; and determine if standards were developed addressing an applicant's level of experience in handling such animals. (APHIS)
- Complete our evaluation of APHIS' controls to determine if regulated animal breeders/dealers' facilities are operating within the requirements of the Animal Welfare Act.
- Investigate threats involving the safety of meat, poultry, or egg products to ensure the timely response and appropriate, prompt corrective action necessary to protect the public from the ill effects of food tampering or tainted food.
- Investigate animal, animal product, plant, and plant product smuggling that may introduce devastating pests and diseases into the American agricultural sector.
- Investigate allegations of animal fighting, including dogfighting and cockfighting, which present serious health risks and are associated with other violent crimes.
- Enhance the capabilities of OIG's Emergency Response Program, including the Emergency Response Team (ERT) and the Wildland Fire Investigations Team, through outreach with other Federal, State, and local agencies and increase utilization of ERT in regional investigative efforts.
- Protect USDA employees and facilities from threats to safety and security, including investigations of Forest Service firefighter deaths and violent assaults on employees.
- Respond to national emergencies affecting the American agricultural sector.
- Coordinate with and participate in the Federal Bureau of Investigation's (FBI) Joint Terrorism Task Forces in support of ongoing investigative efforts to identify and combat domestic and international terrorism.
- Focus on OIG efforts in preventing agro-terrorism and enhancing coordination with both domestic and international partners.
- Investigate allegations of criminal activity in the National Organic Program.

Specific work being conducted pursuant to Recovery Act includes:

- Determine whether project sponsors in the rehabilitation of flood control dams are following requirements set forth in the Recovery Act for issuing contracts, monitoring the completion of rehabilitation projects, and reporting the use of the funds to the Recovery Act Board.
- Promptly investigate any whistleblower complaints filed on Recovery Act spending that involve any potential health, safety or security-related allegations.

Goal 2 – Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants.

We expect to use 33.1 percent of our audit and 65 percent of our investigative resources for Goal 2. Our investigative focus includes threats to the integrity and effectiveness of USDA benefits programs. The audit focus includes continued examination of the effectiveness of internal control systems and identification of risk indicators to increase OIG's and USDA's ability to prevent or detect program abuse and criminal activity. We will also initiate audits on agencies' implementation of new programs and requirements under the 2008 Farm Bill that fall under this goal. Priority work to be performed in FY 2010 will include the following:

- Continue to assess the effectiveness and propriety of USDA emergency relief/disaster assistance resulting from natural disasters. Specifically, OIG will assess whether the assistance was in compliance with statutes and with program regulations, policies, and procedures.
- Continue to evaluate controls in place for the 2007 crop year pilot program involving provisions for pasture, rangeland, and forage vegetation losses. We will also compare Risk Management Agency (RMA) payments against FSA disaster payments to determine if producers were receiving duplicate payments for the same loss.
- Complete our review to assess the effectiveness of RMA's oversight of approved insurance providers' (AIP) quality control reviews.
- Complete our audit to determine borrower compliance with regulations and loan agreements relating to RHS' rural rental housing project maintenance costs and inspection procedures.

- Determine whether RHS is properly servicing Direct Single-Family Housing loans based on the population of returned or undelivered Internal Revenue Service Form 1098s². Cross reference those undeliverable 1098 forms against individuals who received Recovery Act funds to identify possible matches.
- Complete our evaluation to assess whether data collected by the National Agricultural Statistics Service are reliable and support the average yields established for various USDA programs.
- Continue our audit to determine what actions the Food and Nutrition Service (FNS) has taken on recommendations identified in OIG's 1999 consolidated (roll-up) audit of Child and Adult Care Food Program abuses, and evaluate their effectiveness.
- Investigate allegations of criminal activity in various USDA disaster relief programs to identify criminal activity in USDA assistance programs (e.g., crop insurance, indemnity programs, Supplemental Nutrition Assistance Program (SNAP)³/Electronic Benefits Transfer (EBT), grant and loan fraud, etc.) that OIG will pursue through investigation.
- Investigate allegations of criminal activity in farm programs, including the crop insurance program and the farm loan programs.
- Investigate allegations of criminal activity in food and nutrition programs, including SNAP, the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC), and the National School Lunch Program.

Specific work being conducted pursuant to Recovery Act includes:

- Determine if FSA and applicable States have established controls to ensure the Aquaculture Grant Program is carried out in a consistent and equitable manner and fully complies with the oversight and accountability requirements of the Recovery Act.
- Review supplemental agricultural disaster assistance programs and Recovery Act transition assistance to determine if controls are developed and implemented.
- Determine if FSA has established adequate controls effective to ensure Recovery Act funds are distributed only to eligible applicants. We also plan to monitor the use of loan funds for eligible loan purposes under direct farm operating loans to farmers and ranchers.

²Form 1098—A form filed with the Internal Revenue Service (IRS) that details the amount of interest and mortgage-related expenses paid on a mortgage during the tax year. These expenses can be used as deductions on a U.S. income tax form, Schedule A, which reduces taxable income and the overall amount owed to the IRS. The mortgage lender is required by the IRS to provide this form to borrowers. Participants in the RHS Direct Single-Family Housing Loan program receive a 1098 tax form that documents the terms and status of the loan for that borrower.

³SNAP—Formerly known as the Food Stamp Program.

- Evaluate FNS' management and oversight of SNAP, FNS' oversight of State agency recipient fraud detection, and controls over outsourcing of SNAP EBT customer assistance.
- Evaluate vendor monitoring under WIC to assess implementation of new regulations and corrective action regarding improper payments. We will also review and evaluate the use of Recovery Act funds in this area.
- Determine if The Emergency Food Assistance Program (TEFAP) administrative cost funds are timely and effectively expended in accordance with Recovery Act provisions.
- Determine if trade adjustment assistance program funds under the Recovery Act are used for authorized purposes and whether results are accurately reported. (Foreign Agricultural Service)
- Evaluate Forest Service's prioritization and selection of projects, disbursement of funds, acquisition and procurement, management monitoring and oversight, and accountability for and reporting of Recovery Act funds.
- Provide oversight and conduct audit activities related to watershed programs funded by provisions in the Recovery Act. Two audit initiatives include the Watershed Protection and Flood Prevention Operations and the Emergency Watershed Protection Program Floodplain Easements.
- Determine if Rural Development agencies have controls to ensure program objectives and program participants meet requirements to obtain funds provided by the Recovery Act. Nationwide audit initiatives include:
(1) rural business enterprise grants program; (2) business and industry guaranteed loan program; (3) single-family housing guaranteed loan program; (4) single-family housing direct loans; and (5) rural community facilities direct loans and grants.
- Evaluate RHS' Single-Family Housing Guaranteed Loan Program strategies and performance measures—with a focus on RHS' reporting of jobs created and retained—as they relate to Recovery Act funds.
- Increase the number of fraud awareness briefings and publish literature and distribute OIG Hotline posters identifying avenues for reporting fraud related to Recovery Act funding.

- Identify and investigate potentially fraudulent activity occurring within the USDA agency programs receiving Recovery Act funding, including those whistleblower allegations reported as set forth in the Recovery Act.
- Through our National Computer Forensic Division (NCFD), and in conjunction with audit staff, develop the means of using IT to assist in fraud identification related to these programs.

Goal 3 – Support USDA in implementing its management improvement initiatives.

We expect to use 47.2 percent of our audit and 19 percent of our investigative resources for Goal 3. Our audit focus is on improved financial management and accountability, IT security and management, research, real property management and procurement, renewable energy programs, and the Government Performance and Results Act. Our investigative focus includes allegations involving potential criminal violations that have employee corruption or fraud implications. Mandatory and priority work to be performed in FY 2010 include the following:

- Audit the FY 2009/2010 USDA consolidated financial statements and the financial statements of six stand-alone agencies and entities – i.e., FNS, FS, NRCS, Rural Development agencies, the Federal Crop Insurance Corporation, and the Commodity Credit Corporation.
- Evaluate the adequacy of USDA's succession planning activities.
- Evaluate the adequacy of security over USDA's IT resources to ensure compliance with the Federal Information Security Management Act of 2002.
- Determine if USDA and its agencies have implemented smartphones and wireless devices in the most secure manner to safeguard personally identifiable information and sensitive data from inadvertent and/or fraudulent disclosure. (Multiagency)
- Review the National Information Technology Center's and the National Finance Center's general controls to determine whether they are in place and operating effectively.

- Assess the adequacy of USDA's controls to track and process Civil Rights program complaints and determine whether reports of investigation and final agency decisions are supported. We will also follow up on relevant recommendations made in prior OIG audits.
- Participate in examining USDA's succession planning activities as they relate to Investigations operations.
- Closely work with the U.S. Computer Emergency Readiness Team (USCERT) and USDA Cyber Security to investigate unauthorized access to USDA networks and continue to provide "first-responders" training to agency system security engineers to ensure that digital evidence is not compromised during acquisition.
- Participate in the national and local procurement fraud task forces that partner with the U.S. Department of Justice and the various OIGs.
- Investigate allegations of employee corruption and fraud.

Specific work being conducted pursuant to Recovery Act includes:

- Ensure USDA agencies receiving funds under the Recovery Act comply with reporting requirements. To do this we will observe, interview, review, and validate agency information reported on Sharepoint—the Department's Web-based reporting center. We also plan to ensure that USDA agencies procuring services and equipment using Recovery Act funds comply with contracting requirements. (Multiagency)
- Determine whether Recovery Act funds apportioned to stabilize FSA's IT infrastructure and the FNS' WIC management information system are being properly expended and reported.
- Evaluate Rural Utilities Service (RUS) control systems utilized to ensure water and waste disposal program objectives are achieved and that program participants fully meet eligibility requirements.
- Evaluate FS' implementation of actions taken on relevant recommendations made in Phase I of our report on the Healthy Forests Initiative. Review agency plans to expend additional monies provided for hazardous fuels by the Recovery Act.

- Determine whether FS Recovery Act biomass projects met eligibility and program requirements, the agency timely and effectively completed its biomass projects, and FS can support the information reports for performance measures for capital improvements and maintenance. We will also determine whether FS complied with laws and regulations pertaining to Recovery Act funding.
- Promptly input and disseminate whistleblower complaints relating to Recovery Act funding, and track them through an automated tickler system, in order to ensure timeliness requirements included in the Act are met.

Goal 4 – Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.

We expect to use 7.4 percent of our audit and 1 percent of our investigative resources for Goal 4. Our audit and investigative focus is USDA's management and stewardship of natural resources, including soil, water, and recreational settings. Priority work in FY 2010 will include the following:

- Complete our review to determine if FS is properly obtaining rights-of-way and easements (ROW&E) necessary to manage Federal lands and is properly granting and modifying ROW&Es in accordance with laws and regulations and in the best interest of the American public.

Specific work being conducted pursuant to Recovery Act includes:

- Determine if FS controls are in place to ensure program objectives and program participants meet requirements to obtain funds apportioned under the Recovery Act for capital improvement and maintenance plans. Our audit initiatives include: FS road and trail maintenance and decommissioning; abandoned mine remediation projects; and FS facility improvement, maintenance, and renovation at agency-owned buildings and recreation sites.
- Determine whether recipients of Recovery Act funds received for hazardous fuels reduction and ecosystem restoration on non-Federal lands met eligibility and program requirements, and timely and effectively completed their improvement projects. We will also determine if FS complied with laws and regulations pertaining to Recovery Act funding.

Goal 5 – Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG’s ability to fulfill its mission and communicate its accomplishments.

Our focus is to ensure readiness of the OIG workforce, timely report information to stakeholders, and satisfy employees’ needs. Work in FY 2010 will include the following:

- Solicit stakeholder feedback through interviews and customer service surveys (where appropriate) annually.
 - Develop and deliver timely and informative Semiannual Reports to Congress, OIG Annual Plans, and other OIG publications to stakeholders.
 - Report monthly to the Office of Management and Budget (OMB) on Recovery Act funds.
 - Update and publish high-priority Inspector General (IG) management and policy directives.
 - Issue IG subpoenas in a timely and effective manner.
- Meet or exceed the goals established by the Department’s Small Business Office for awards to small business contractors.
 - Improve data retention and recovery by consolidating regional servers to KC and DC.
 - Improve performance of the file sharing and printing by implementing a single platform.
 - Implement the Financial Management Modernization Initiative (FMMI) to improve financial management at headquarters and in the regions.
 - Use targeted recruitment and existing hiring flexibilities, such as the Outstanding Scholar Program, Federal Career Intern Program, Student Employment Programs, bilingual hiring and Schedule A hiring authorities, for referral certificates, where possible, to increase diversity in underrepresented areas.
 - Ensure management and staff understand their rights and responsibilities in relation to Equal Employment Opportunity and Employee Relations and provide training, as necessary.

FY 09 Performance Summary and Results

Our Strategic Plan established a life-cycle approach to our outputs that tracks individual actions at critical milestones to identify the results of our work. We began measuring the results of our work in FY 2004. During FY 2008, OIG increased the number of performance measures from 3 to 19. In FY 2009, OIG met 15 of the 19 performance targets. This provides an

opportunity for OIG to improve its work processes in FY 2010. OIG will be keeping 17 of the FY 2009 performance measures and have added three new measures bringing the total to 20 for FY 2010. The following illustrates our FY 2009 results in comparison to our performance targets.

OIG Strategic and Performance Goals and FY 2009 Results

Strategic Goal	Performance Goals
(1) Safety and Security	Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources.
(2) Integrity of Benefits	Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants.
(3) Management Improvement Initiatives	Support USDA in implementing its management improvement initiatives.
(4) Natural Resources	Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.
(5) Qualified Diverse Workforce	Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG's ability to fulfill its mission and communicate its accomplishments.

Performance Measures

FY 2009 Target FY 2009 Actual

Performance Measures	FY 2009 Target	FY 2009 Actual
(1) OIG direct resources dedicated to critical-risk and high-impact work.	90%	95.3% 99.5% A 88.2% I
(2) Audit recommendations resulting in management decision within 1 year of report issuance.	85%	88.8%
(3) Mandatory and Congressional, Secretarial, and agency-requested audits completed within required or agreed-to timeframes.	85%	100%
(4) Closed investigations that resulted in a referral for action to the Department of Justice, State/local law enforcement officials, or relevant administrative authority.	70%	74.6%
(5) Closed investigations previously referred for action that resulted in an indictment, conviction, civil suit or settlement, judgment, administrative action, or monetary result.	65%	76.8%

Performance Measures	FY 2009 Target	FY 2009 Actual
(6) **Positive employee satisfaction rate reported in staff surveys (now done through ongoing staff surveys on a rotation basis by region).	70%	66.3%
(7) Time from receipt of an SF-52 for a standard recruitment action until positions are posted and opened through the automated system averages 3 days.	65%	56.0%
(8) Time to issue candidate certificates after the closing date of an announcement averages 5 days.	65%	100%
(9) All ITD systems including the LAN, WAN, e-mail, and ARGOS remain operational, excluding planned outages for system maintenance and outages caused by outside factors.	95%	99.9%
(10) Monthly Status of Funds Report issued within management timeframes following passage and apportionment of the FY 2009 appropriation.	75%	100%
(11) Micro-purchases awarded within 3 working days of receipt of approved Procurement Request (AD-700).	85%	74.7%
(12) Administrative actions for processing new hires completed within 72 hours after they report for duty.	90%	100%
(13) All OIG public reports meet management and statutory timeframes for publications.	90%	100%
(14) Positive evaluation score on course evaluations for all EEO training courses.	75%	94.5%
(15) Quality Assurance Review reports issued in final with management response within 60 days.	75%	100%
(16) Quality Assurance Review report recommendations accepted annually.	75%	95.2%
(17) Inquiries from Members of Congress acknowledged either orally or in writing within 10 working days of receipt.	90%	89.2%
(18) *GovTrip non-emergency customer queries will be replied to within 24 hours during the business week.	90%	98.3%
(19) *Counsel to the Inspector General issues IG subpoenas within 5 working days upon receipt of complete information for review.	80%	94.1%

* New performance measure for FY 2009

** Since the Organizational Assessment Survey (OAS) was canceled due to budget constraints, the EEO Assessments were used to determine employee satisfaction.

Conclusion

Throughout the FY 2010 performance year, periodic reporting will measure progress toward the performance objectives identified for work under this annual plan. Adjustments may be made to reflect shifting priorities, increased or reduced resources, or other circumstances that may arise.

Every effort was made in developing this annual plan to ensure realistic assessment of the planned work's strategic

alignment and importance, anticipated outcomes, and resource requirements. We believe it represents a balanced and achievable set of performance objectives for FY 2010.

We will report on our results against this plan in our Semiannual Reports to Congress.

Appendix A

Related Materials and Information

OIG Strategic Plan for FY 2007-2012:

<http://www.usda.gov/oig/webdocs/OIGStrat2007.2012.pdf>

USDA Strategic Plan for FY 2005-2010:

<http://www.ocfo.usda.gov/usdasp/sp2005/sp2005.pdf>

USDA Major Management Challenges August 2009:

<http://www.usda.gov/oig/webdocs/MgmtChallenges2009.pdf>

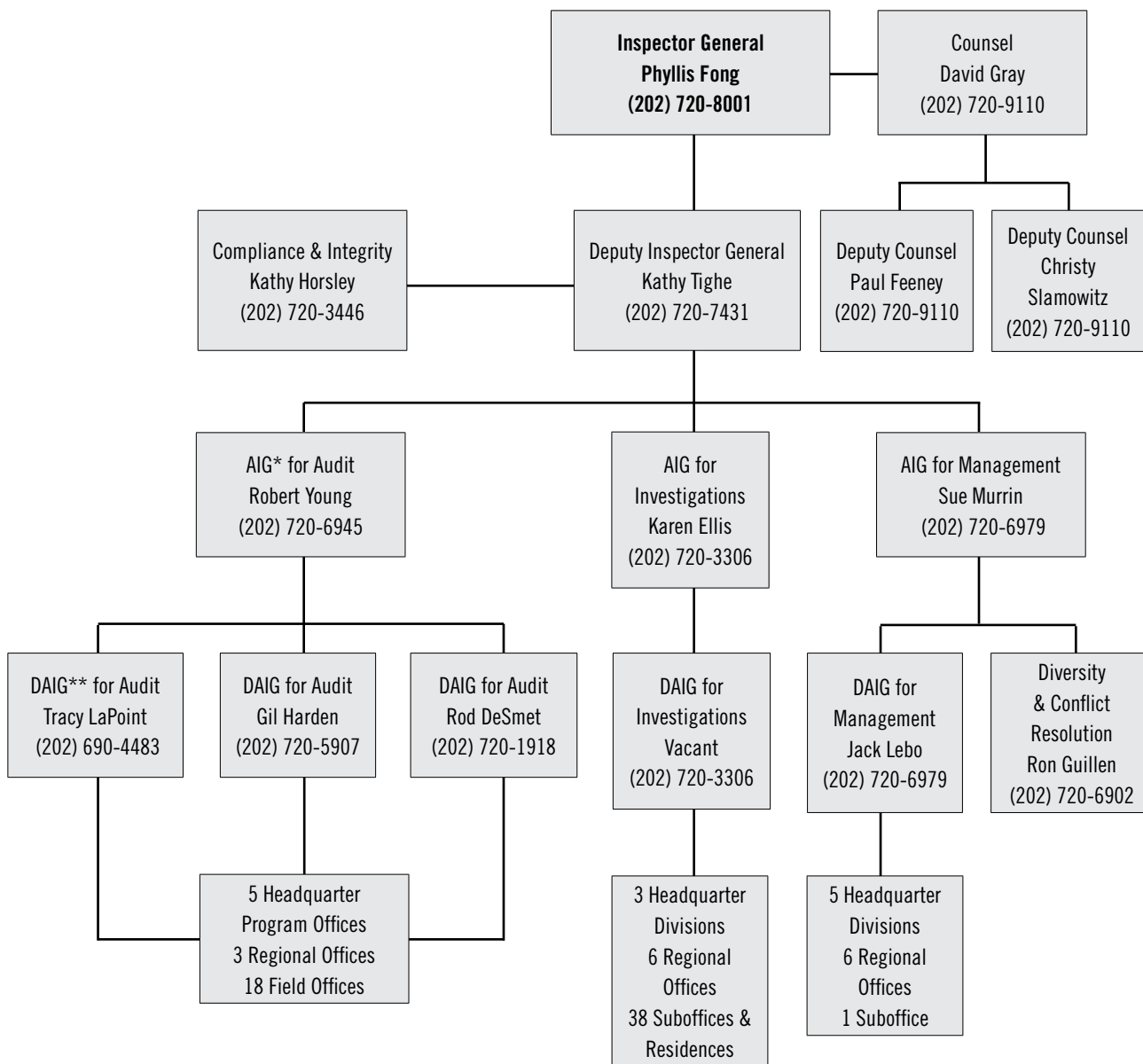
OIG American Recovery and Reinvestment Act Recovery Efforts

<http://www.usda.gov/oig/recovery/index.htm>

Appendix B

Organization Chart and Functional Responsibilities

October 2009



*AIG-Assistant Inspector General

**DAIG-Deputy Assistant Inspector General

Areas of Responsibility:

Audit

Audit examines the economy and efficiency of USDA programs and operations, including program results, compliance with applicable laws and regulations, and fair presentation of financial reports. Audit also performs data analyses to assist audit teams in identifying early indicators of potential fraud or the need for additional program controls. While the majority of audit work is performed by OIG staff, Audit contracts with certified public accountants for some work and oversees the quality of work done by auditors under contract to other USDA agencies. OIG audits are performed in accordance with *Government Auditing Standards* published by the U.S. Government Accountability Office and in accordance with the professional standards set by the American Institute of Certified Public Accountants.

Investigations

Investigations utilizes specific law enforcement authorities, tools, and techniques, including the use of asset forfeiture, to conduct investigations and prevent fraud, waste, and abuse in the programs and operations of USDA. Investigative work is intended to result in appropriate actions to resolve allegations and to prevent and deter future instances of illegal or fraudulent acts or misconduct.

Counsel

The Office of Counsel (OC) provides legal advice and representation on issues arising during the course of audit, investigative, and inspection activities or administrative and management issues facing OIG. OC also manages OIG's congressional and media relations, ethics, Freedom of Information Act, and Privacy Act programs.

Management

The Office of Management (OM) ensures that the people, funds, technology and equipment, and policies are in place so that OIG can function efficiently and effectively. Responsibilities include asset management, budget formulation and execution, human resources, cross-OIG workplace training, information technology, and policy preparation for OIG. OM also facilitates OIG's planning activities and prepares cross-cutting documents on OIG accomplishments.

Compliance and Integrity

The Office of Compliance and Integrity (OCI) conducts systematic and independent reviews and investigations of OIG operations. Reviews are generally focused on management and internal controls, and investigations are generally in response to allegations of OIG employee misconduct or mismanagement issues.

Appendix C

Distribution of Audit Resources by Agency

FY 2010 PLANNED		
MISSION AREA	AGENCY	%
NATURAL RESOURCES AND ENVIRONMENT – 18.9%	Forest Service (FS)	13.3
	Natural Resources Conservation Service (NRCS)	5.6
FARM AND FOREIGN AGRICULTURAL SERVICES – 16.6%	Farm Service Agency (FSA)	8.5
	Foreign Agricultural Service (FAS)	0.7
	Risk Management Agency (RMA)	7.2
	Commodity Credit Corporation (CCC)	0.2
RURAL DEVELOPMENT – 17.4%	Rural Development (RD)	3.5
	Rural Utilities Service (RUS)	2.2
	Rural Housing Service (RHS)	7.9
	Rural Business-Cooperative Service (RBS)	3.7
FOOD, NUTRITION, AND CONSUMER SERVICES – 12.3%	Food and Nutrition Service (FNS)	12.3
FOOD SAFETY – 8.1%	Food Safety and Inspection Service (FSIS)	8.1

FY 2010 PLANNED		
MISSION AREA	AGENCY	%
MARKETING AND REGULATORY PROGRAMS – 4.6%	Agricultural Marketing Service (AMS)	0.7
	Animal and Plant Health Inspection Service (APHIS)	3.9
	Grain Inspection, Packers and Stockyards Administration (GIPSA)	*
RESEARCH, EDUCATION, AND ECONOMICS – 0.1%	Agricultural Research Service (ARS)	*
	Cooperative State Research, Education, and Extension Service (CSREES)	*
	National Agricultural Statistics Service (NASS)	0.1
EXECUTIVE SECRETARIAT AND OTHER ENTITIES – 3.5%	Office of the Chief Financial Officer (OCFO), Office of the Chief Information Officer (OCIO), Assistant Secretary for Civil Rights (ASCR), Homeland Security	3.5
MULTIPLE AGENCY – 18.6%		18.6

**No work is scheduled for this agency in FY 2010*

Appendix D

Distribution of Investigative Resources by Agency

FY 2010 PLANNED ANTICIPATED		
MISSION AREA	AGENCY	%
NATURAL RESOURCES AND ENVIRONMENT – 6.8%	Forest Service (FS)	4.5
	Natural Resources Conservation Service (NRCS)	2.3
FARM AND FOREIGN AGRICULTURAL SERVICES – 24.5%	Farm Service Agency (FSA)	16.3
	Foreign Agricultural Service (FAS)	1.1
	Risk Management Agency (RMA)	7.1
RURAL DEVELOPMENT – 5.5%	Rural Utilities Service (RUS)	0.6
	Rural Housing Service (RHS)	4.5
	Rural Business-Cooperative Service (RBS)	0.4
FOOD, NUTRITION, AND CONSUMER SERVICES – 35.0%	Food and Nutrition Service (FNS)	35.0
FOOD SAFETY – 4.4%	Food Safety and Inspection Service (FSIS)	4.4
MARKETING AND REGULATORY PROGRAMS – 18.4%	Agricultural Marketing Service (AMS)	2.1
	Animal and Plant Health Inspection Service (APHIS)	16.1
	Grain Inspection, Packers and Stockyards Administration (GIPSA)	0.2
RESEARCH, EDUCATION, AND ECONOMICS – 1.5%	Agricultural Research Service (ARS)	1.0
	Cooperative State Research, Education, and Extension Service (CSREES)	0.5
OTHER – 3.9%	Departmental Administration (DA), Office of the Chief Financial Officer (OCFO), Office of the Chief Information Officer (OCIO), Assistant Secretary for Civil Rights (ASCR), OIG, Other	3.9

Appendix E

Fiscal Year 2010 Audits Planned and In Process

AGENCY	TITLE	GOAL
AMS	Implementation of Country of Origin Labeling	Safety and Security
	* Oversight of the National Organic Program	Safety and Security
APHIS	Followup on APHIS' Evaluation of the Implementation of Select Agent or Toxin Regulations	Safety and Security
	Animal Research Compliance with the Animal Welfare Act	Safety and Security
	National Animal Health Laboratory Network	Safety and Security
	* Effectiveness of the Safeguarding Intervention and Trade Compliance Units Controls To Identify and Prevent Unauthorized Entry of Prohibited Products	Safety and Security
	* Controls Over Animal Import Centers	Safety and Security
	* Licensing of Animal Exhibitors	Safety and Security
	USDA's Emergency Response Plan for Foot and Mouth Disease	Safety and Security
	Implementation of the Lacey Act, Section 8207 – Prevention of Illegal Logging Practices	Natural Resources
	* Oversight of Designated Qualified Persons Enforcing the Horse Protection Act and Transportation of Horses Across the Border to Slaughter	Safety and Security
	* Inspections of Breeders and Brokers	Safety and Security
CCC	* FY 2009 Commodity Credit Corporation (CCC) Financial Statements	Improvement Initiatives
	FY 2010 CCC Financial Statements	Improvement Initiatives
OCIO	FY 2010 National Information Technology Center – General Controls Review	Improvement Initiatives
	Information Technology Services Web Farm Security Review	Safety and Security
ASCR/ OAC	* Review of USDA's Accountability of Actions Taken on Program Civil Rights Complaints	Improvement Initiatives

NOTES:

Plus Sign (+)—Denotes work being conducted pursuant to the American Recovery and Reinvestment Act of 2009.

Asterisk (*)—Denotes work in process – This is carryover work from an assignment initiated in the prior fiscal year.

Goal 1 Safety and Security—Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Departmental resources.

Goal 2 Integrity of Benefits—Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants.

Goal 3 Improvement Initiatives—Support USDA in implementing its management improvement initiatives.

Goal 4 Natural Resources—Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.

AGENCY		TITLE	GOAL
FAS	+	Trade Adjustment Assistance for Farmers' Programs, Phase II	Integrity of Benefits
FNS	+*	The Emergency Food Assistance Program (TEFAP)	Integrity of Benefits
		Food Safety in School Meal Programs	Integrity of Benefits
	+*	Recovery Act Impacts on the Supplemental Nutrition Assistance Program (SNAP)	Integrity of Benefits
		Status of Improper Payment Information Reporting	Integrity of Benefits
		Controls Over USDA Donated Commodities	Safety and Security
	+*	Vendor Monitoring in the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) Program	Integrity of Benefits
	+	Recovery Act Spending for the WIC Information System	Improvement Initiatives
	*	FY 2009 FNS Financial Statements	Improvement Initiatives
		FY 2010 FNS Financial Statements	Improvement Initiatives
		SNAP State and County-Based Administrative Costs	Integrity of Benefits
		Oversight of the National Commodity Processing Program	Integrity of Benefits
		National Electronic Benefits Transfer (EBT) Implementation for WIC	Integrity of Benefits
	+*	State Fraud Detection Efforts for SNAP	Integrity of Benefits
	+*	Recovery Act – Management Oversight of SNAP, Phase I	Integrity of Benefits
	+	Recovery Act – Management Oversight of SNAP, Phase II	Integrity of Benefits
	+*	SNAP – Increased Benefits from Recovery Act Funds	Integrity of Benefits
		National School Lunch Program (NSLP) – California	Integrity of Benefits
	*	Child and Adult Care Food Program (CACFP) – Followup on Nationwide Report	Integrity of Benefits
	+	Controls Over Outsourcing of SNAP EBT Customer Assistance	Integrity of Benefits
FS		Internal Controls and Management of Drug Enforcement Issues on National Forest System Lands	Natural Resources
		FS Watershed Management	Natural Resources
	+*	Implementation of the Healthy Forests Initiative, Phase II	Improvement Initiatives
	*	Evaluating FS' Processes to Obtain and Grant Rights-of-Way and Easements	Natural Resources
	*	FY 2009 FS Financial Statements	Improvement Initiatives
		FY 2010 FS Financial Statements	Improvement Initiatives
		Management of Oil and Gas Resources on National Forest System Land	Natural Resources
	+*	Oversight and Control of FS Recovery Act Activities	Integrity of Benefits
	*	FS Administration of Special Use Permits	Natural Resources
	*	Followup on Prior OIG Firefighter Safety Audits	Safety and Security
	*	Forest Legacy Program – Appraisal Process	Natural Resources

AGENCY	TITLE	GOAL
FS	+* Recovery Act – FS Facility Improvement, Maintenance, and Renovation	Natural Resources
	+* Recovery Act – FS Trail Maintenance and Decommissioning	Natural Resources
	Forest Service Use of Prescribed Fire	Natural Resources
	+* Recovery Act – FS Road Maintenance and Decommissioning	Natural Resources
	+* Recovery Act – FS Abandoned Mine Remediation	Natural Resources
	+ Recovery Act – FS' Wood to Energy Projects (Biomass)	Improvement Initiatives
	+* Recovery Act – FS' Hazardous Fuels Reduction/Ecosystem Restoration on Non-Federal Lands	Natural Resources
	FS' Bonding of Mines	Natural Resources
	FS Firefighter Certification Process	Safety and Security
	Firefighting Cost Share Agreements with Non-Federal Entities	Improvement Initiatives
	Followup on FS' Working Capital Fund Audits	Improvement Initiatives
	* FS' Firefighting Succession Plans	Safety and Security
	* FS' Acquisition of IT Software/Hardware, Phase I	Improvement Initiatives
	FS' Acquisition of IT Software/Hardware, Phase II	Improvement Initiatives
FSA	Management Controls Over the Economic Adjustment Assistance to Users of Upland Cotton Provisions of the 2008 Farm Bill	Improvement Initiatives
	Effectiveness of FSA's Counter-cyclical Payments Based on Historical Yield	Improvement Initiatives
	+* Controls Over Aquaculture Grant Recovery Act Funds, Phase I	Integrity of Benefits
	+ Controls Over Aquaculture Grant Recovery Act Funds, Phase II	Integrity of Benefits
	Verification of Income Eligibility for Program Payments	Integrity of Benefits
	+ Recovery Act Spending for FSA Information Technology Issues	Improvement Initiatives
	+ Supplemental Agricultural Disaster Assistance Programs and Recovery Act Transition Assistance	Integrity of Benefits
	Biomass Crop Assistance Program	Integrity of Benefits
	Farm Storage Facility Loan Program	Integrity of Benefits
	2008 Farm Bill's Changes to Payment Limitation	Integrity of Benefits
	Conservation Reserve Program Soil Rental Rates	Integrity of Benefits
	+* Recovery Act – Direct Farm Operating Loans, Phase I	Integrity of Benefits
	+ Recovery Act – Direct Farm Operating Loans, Phase II	Integrity of Benefits
FSIS	* Food Emergency Response Network (FERN)	Safety and Security
	* FSIS In-Commerce Surveillance Activities	Safety and Security
	FSIS State Inspection Programs	Safety and Security
	Followup on 2007 and 2008 Audit Initiatives in FSIS	Safety and Security
	Controls Over the Voluntary Inspection of Slaughtered Bison	Safety and Security

AGENCY	TITLE	GOAL
FSIS	Temporary Approval of Food Labeling for Meat, Poultry, and Egg Products	Safety and Security
	Assessment of FSIS Inspection Personnel Shortages in Processing Establishments	Safety and Security
	FSIS' Implementation of Inspection Procedures for Catfish	Safety and Security
	MRSA (Methicillin resistant Staphylococcus aureus) in Meat	Safety and Security
	FSIS Salmonella Verification Testing Program	Safety and Security
	* National Residue Program for Cattle	Safety and Security
	Risk Based Sampling and Testing for <i>E.Coli</i>	Safety and Security
	FSIS – Consumer Complaints	Safety and Security
	FSIS' Food Defense Verification Procedures	Safety and Security
HSO	USDA Homeland Security Initiative in the 2008 Farm Bill	Safety and Security
MULTI	USDA National Plant Diagnostic Network (NPDN)	Safety and Security
	International Trade Policy and Procedures	Improvement Initiatives
	* FY 2009 USDA Financial Statements	Improvement Initiatives
	FY 2010 USDA Financial Statements	Improvement Initiatives
	FY 2010 Federal Information Security Management Act (FISMA) Report	Improvement Initiatives
	USDA Security Over Wireless and/or Smartphones	Improvement Initiatives
	* USDA Emergency Relief/Disaster Assistance for Past and Future Natural Disasters	Integrity of Benefits
	Monitoring the Implementation of the 2008 Farm Bill Provisions and Mandates	Improvement Initiatives
	+* Recovery Act Reporting Oversight	Improvement Initiatives
	+ Contracting Officer's Technical Representative (COTR) Work for Recovery Act Contracts	Improvement Initiatives
	Review of USDA's Oversight of the Select Marketing Programs	Improvement Initiatives
	USDA's Response to Colony Collapse Disorder	Safety and Security
	FSA and NRCS Methods to Assess Integrity of Programs	Integrity of Benefits
	* Pasture, Rangeland, and Forage Loss Programs	Integrity of Benefits
	Defense Contract Audit Agency (DCAA) Contract Audit Administration (2010)	Integrity of Benefits
	Single Audit Management	Integrity of Benefits
Special Requests	Improvement Initiatives	
Audit Followup	Improvement Initiatives	
NASS	* Establishments of Average Yields of Agriculture Crops	Integrity of Benefits

AGENCY	TITLE	GOAL	
NRCS	+	Rehabilitation of Flood Control Dams, Phase II	Safety and Security
	*	FY 2009 NRCS Financial Statements	Improvement Initiatives
		FY 2010 NRCS Financial Statements	Improvement Initiatives
	+*	Recovery Act – Watershed Protection and Flood Prevention Operations, Phase I	Integrity of Benefits
	+	Recovery Act – Watershed Protection and Flood Prevention Operations, Phase II	Integrity of Benefits
		NRCS Equitable Relief	Integrity of Benefits
		Environmental Quality Incentives Program (EQIP)	Natural Resources
	+*	Recovery Act – Emergency Watershed Protection Program, Floodplain Easements, Phase I	Integrity of Benefits
	+	Recovery Act – Emergency Watershed Protection Program, Floodplain Easements, Phase II	Integrity of Benefits
OCFO		FY 2010 National Finance Center General Controls	Improvement Initiatives
		FY 2010 Agreed-Upon Procedures: Retirement, Health Benefits, and Life Insurance Withholdings/Contribution and Supplemental Headcount Report Submitted to the Office of Personnel Management	Improvement Initiatives
RBS		Controls Over the Biorefinery Assistance Program	Integrity of Benefits
	+*	Recovery Act – Rural Business Enterprise Grants, Phase I	Integrity of Benefits
	+	Recovery Act – Rural Business Enterprise Grants, Phase II	Integrity of Benefits
		Rural Cooperative Development Grant Servicing	Integrity of Benefits
	*	Rural Cooperative Development Grant Program Eligibility and Grant Fund Use for a Missouri Entity	Integrity of Benefits
		Rural Economic Development Loan Program	Integrity of Benefits
	+*	Recovery Act – Business and Industry (B&I) Guaranteed Loan Program, Phase I	Integrity of Benefits
	+	Recovery Act – B&I Guaranteed Loan Program, Phase II	Integrity of Benefits
RD	*	FY 2009 Rural Development Financial Statements	Improvement Initiatives
		FY 2010 Rural Development Financial Statements	Improvement Initiatives
RHS	*	Rural Rental Housing (RRH) Maintenance Costs and Inspection Procedures	Integrity of Benefits
	+*	Controls Over Eligibility Determinations for Single-Family Housing (SFH) Guaranteed Loan Recovery Act Funds, Phase I	Integrity of Benefits
	+*	Controls Over Eligibility Determinations for SFH Guaranteed Loan Recovery Act Funds, Phase II	Integrity of Benefits
		Rural Rental Housing (RRH) Construction Costs	Integrity of Benefits

AGENCY	TITLE	GOAL
RHS	+ Recovery Act – Performance Measures and Job Creation for the SFH Guaranteed Loan Program	Integrity of Benefits
	RRH Project Management Companies	Integrity of Benefits
	+ Evaluation of Loss Claims Related to Recovery Act Funds Distributed Through the SFH Guaranteed Loan Program	Integrity of Benefits
	RHS Use of Internal Revenue Service (IRS) Form 1098, Mortgage Interest Statement for SFH Loans	Integrity of Benefits
	+* Recovery Act – Controls Over Eligibility Determinations for Rural Community Facilities Program Direct Loan and Grant Funds (Phase I)	Integrity of Benefits
	+* Recovery Act – Internal Controls Over the Rural Community Facilities Direct Grant and Loan Programs (Phase II)	Integrity of Benefits
	+* SFH Direct Loans Recovery Act Controls, Phase I	Integrity of Benefits
	+ SFH Direct Loans Recovery Act Controls, Phase II	Integrity of Benefits
		Utilizing Geographic Information System (GIS) Data
RMA	Assured Insurance Provider (AIP) Compliance with RMA Pre-Acceptance Inspection Requirements for Florida Producers' Crop Insurance Policies	Integrity of Benefits
	* Citrus Indemnity Payments Resulting from 2005 Florida Hurricanes	Integrity of Benefits
	* USDA Payments for 2005 Citrus Canker Tree Losses	Integrity of Benefits
	RMA's Book of Business and the Death Master List	Integrity of Benefits
	RMA's Catastrophic Risk Protection (CAT) Program	Integrity of Benefits
	* FY 2009 Federal Crop Insurance Corporation (FCIC) Financial Statements	Improvement Initiatives
	FY 2010 FCIC Financial Statements	Improvement Initiatives
	RMA Oversight of Organic Crop Insurances Insured	Integrity of Benefits
	* Oversight of AIP Quality Control Process	Integrity of Benefits
	* Validity of New Producers	Integrity of Benefits
	Review of Controls Over Policy Holder Yield Database	Integrity of Benefits
	Biotech Yield Endorsement Pilot Program	Integrity of Benefits
	Foreign Participation in Crop Insurance Programs	Integrity of Benefits
	* Group Risk Crop Insurance	Integrity of Benefits
RUS	+* Recovery Act – RUS Controls Over Water and Waste Disposal	Improvement Initiatives
	+ RUS Controls Over Water and Waste Disposal Loan and Grant Programs	Improvement Initiatives
	* RUS Water and Waste Disposal Grants – Alaska	Integrity of Benefits
		Distance Learning and Telemedicine Grants and Loans

Overview of OIG Plans for Fiscal Year 2010

Percentage of Direct Resources To Be Spent on Each FY 2010 Goal	Audit	Investigations
(1) Strengthen USDA's ability to implement safety and security measures to protect the public health as well as agricultural and Department resources.	12.3%	15%
(2) Reduce program vulnerabilities and strengthen program integrity in the delivery of benefits to program participants.	33.1%	65%
(3) Support USDA in implementing its management improvement initiatives.	47.2%	19%
(4) Increase the efficiency and effectiveness with which USDA manages and exercises stewardship over natural resources.	7.4%	1%
(5) Strive for a highly qualified diverse workforce with the tools and training necessary to continuously enhance OIG's ability to fulfill its mission and communicate its accomplishments.*	N/A	N/A

**Work on goal 5 includes: (1) the OIG mission support work of the Offices of Counsel, Management, and Compliance and Integrity; and (2) the training, general supervision, and equal employment opportunity work done by all OIG staff.*

Overview of OIG Plans for Fiscal Year 2010

Performance Measures	FY 2009 Target	FY 2009 Actual	FY 2010 Target
(1) OIG direct resources dedicated to critical-risk and high-impact work.	90%	95.3% 99.5% A 88.2% I	90%
(2) Audit recommendations resulting in management decision within 1 year of report issuance.	85%	88.8%	85%
(3) Mandatory and Congressional, Secretarial, and agency-requested audits completed within required or agreed-to timeframes.	85%	100%	90%
(4) Closed investigations that resulted in a referral for action to the Department of Justice, State/local law enforcement officials, or relevant administrative authority.	70%	74.6%	70%
(5) Closed investigations previously referred for action that resulted in an indictment, conviction, civil suit or settlement, judgment, administrative action or monetary result.	65%	76.8%	65%
(6) On a biannual basis, positive employee satisfaction rate reported in staff surveys.	70%	66.3%	N/A
(7) Time from receipt of an SF-52 for a standard recruitment action until positions are posted and opened through the automated system averages 3 days.	65%	56.0%	90%
(8) Time to issue candidate certificates after the closing date of an announcement averages 5 days.	65%	100%	90%
(9) All ITD systems including the LAN, WAN, e-mail, and ARGOS remain operational, excluding planned outages for system maintenance and outages caused by outside factors.	95%	99.9%	97%
(10) *Improve data retention and recovery by consolidating regional servers to KC & DC by at least 25% each quarter of the fiscal year.	N/A	N/A	95%
(11) *Improve performance of the file sharing and printing by implementing a single network operating system in at least 25% of the OIG offices each quarter of the fiscal year.	N/A	N/A	95%
(12) Monthly Status of Funds Report issued within management timeframes following passage and apportionment of FY appropriations.	75%	100%	90%
(13) Micro-purchases awarded within 3 working days of receipt of approved AD-700.	85%	74.7%	90%
(14) Administrative actions for processing new hires completed within 72 hours after they report for duty.	90%	100%	95%

Performance Measures	FY 2009 Target	FY 2009 Actual	FY 2010 Target
(15) All OIG public reports meet management and statutory timeframes for publications.	90%	100%	90%
(16) Positive evaluation scores on course evaluation for all EEO training courses.	75%	94.5%	75%
(17) Quality Assurance Review reports issued in final with management response within 60 days.	75%	100%	85%
(18) Quality Assurance Review report recommendations accepted annually.	75%	95.2%	85%
(19) *Total requests from Congress acknowledged either orally or in writing within 10 working days of receipt.	N/A	N/A	90%
(20) GovTrip non-emergency customer queries will be replied to within 24 hours during the business week.	90%	98.3%	90%
(21) Counsel to the Inspector General issues IG subpoenas within 5 working days upon receipt of complete information for review.	80%	94.1%	85%

*New performance measure.

Performance Measures for Recovery Act Work	FY 2010 Target
Work performed under these 7 performance measures is also covered under the performance measures listed on the inside back cover of this plan.	
(1) Review internal controls related to individual Recovery Act programs prior to substantial funds being obligated or expended	75%
(2) Notify USDA agency managers of significant audit findings related to Recovery Act programs along with recommendations for corrective action within 30 days after identification.	80%
(3) Respond to Recovery Accountability and Transparency Board sponsored requests and projects within established schedules or agreed-to timeframes.	85%
(4) Whistleblower retaliation allegations are investigated and reported within 180 days of receipt.	75%
(5) Investigations staff will participate in 10 Outreach/Training meetings each quarter on Recovery Act work.	80%
(6) An investigative determination to accept or decline an allegation of whistleblower retaliation is made within 180 days of receipt.	100%
(7) Monthly reporting to Office of Management and Budget on ARRA funds within required deadline.	100%

* OIG will report on our results against this plan in our Semiannual Reports to Congress.

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Annual Plan

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